



ITW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission 35

Application Number 10/641,137

Filing Date August 15, 2003

First Named Inventor Gerald Dyck

Art Unit 3618

Examiner Name

Attorney Docket Number 2252-102

### ENCLOSURES (Check all that apply)

- |   |   |   |
|---|---|---|
| <input type="checkbox"/> Fee Transmittal Form                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> After Allowance Communication to TC                            |
| <input type="checkbox"/> Fee Attached                                     | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences     |
| <input type="checkbox"/> Amendment/Reply                                  | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final                                      | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Proprietary Information  |
| <input type="checkbox"/> Affidavits/declaration(s)                        | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Status Letter  |
| <input type="checkbox"/> Extension of Time Request                        | <input type="checkbox"/> Terminal Disclaimer  | <input type="checkbox"/> Other Enclosure(s) (please identify below):                    |
| <input type="checkbox"/> Express Abandonment Request                      | <input type="checkbox"/> Request for Refund   | Return Postcard   |
| <input checked="" type="checkbox"/> Information Disclosure Statement      | <input type="checkbox"/> CD, Number of CD(s) _____                                      |   |
|   | <input type="checkbox"/> Landscape Table on CD  |   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)           | <input type="text"/> Remarks  |   |
| <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application   |   |   |
| <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 |   |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Vermette & Co.		
Signature			
Printed name	Clifford W. Vermette		
Date	February 3, 2005	Reg. No.	30,018

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature			
Typed or printed name	Janet Au-yeung, Paralegal	Date	February 3, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Atty. Dkt. No. 2252-102

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/641,137  
Title: ELECTRO-MECHANICAL CONTINUOUSLY VARIABLE TRANSMISSION  
Filed: August 15, 2003  
Inventors: Gerald Dyck, Paul Dries, Mohsen Bagherpour, and John Czepak

---

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

In compliance with the Applicant's duty of disclosure set forth in 37 C.F.R. §1.56 and pursuant to the provisions of 37 C.F.R. §1.97, et seq., Applicant brings to the attention of the Examiner the information contained in the following for consideration during the examination of the above-referenced patent application:

**U.S. Patent Documents**

<u>Patent No.</u>	<u>Issued</u>	<u>Inventor(s)</u>
6,428,438 B1	August 6, 2002	Bowen
6,371,878 B1	April 16, 2002	Bowen
6,592,484 B1	July 15, 2003	Tsai, et al.
5,704,440	January 6, 1998	Urban, et al.
5,285,111	February 8, 1994	Sherman
5,558,589	September 24, 1996	Schmidt
6,478,705 B1	November 12, 2002	Holmes, et al.

**Foreign Patents**

<u>Patent No.</u>	<u>Issued</u>	<u>Inventor(s)</u>
CA 2,435,450	August 15, 2002	Bowen, et al.

It is respectfully submitted that the Applicant's invention defines patentable subject matter over the teachings of the above references, alone or in any combination thereof.

Accordingly, favorable action on this application is respectfully requested. A completed form PTO/SB/08, delineating the above references, is enclosed, as well as a copy of each of the references.

This Disclosure Statement, filed in accordance with 37 C.F.R. §1.97, should not be construed as a representation that a search has been made, or that no other material information, as defined in 37 C.F.R. §1.56(a), exists.

Respectfully submitted,

Date: JAN 31 / 05

by:

Gerald Dyck, et al.  
Applicant

Vermette & Co.  
Box 40 Granville Square  
230-200 Granville Street  
Vancouver, British Columbia  
Canada V6C 1S4

Clifford W. Vermette, Registration No. 30,018

Tel: 604-331-0381  
Fax: 604-331-0382

Encls. - as listed above



PTO/SB/08A (08-03)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

*(Use as many sheets as necessary)*

**Complete if Known**

Application Number	10/641,137
Filing Date	August 15, 2003
First Named Inventor	Gerald Dyck
Art Unit	
Examiner Name	
Attorney Docket Number	2252-102

Sheet		of
-------	--	----

## U. S. PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.**